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Management of TrustFactory  
TrustFactory (Pty) Ltd  
PO Box 802  
Saxonwold

Your ref PKI WebTrust  
Assessment 2019  
(SSL)

Our ref TrustFactory\_CYBE  
R19\_O\_Webtrust  
PKI Accreditation  
(SSL)

Contact Duffield, Devon  
(+27832867588)

11 December 2020

## Independent Assurance Report

*To the Management of TrustFactory Certification Authority ("TrustFactory-CA")*

### Scope

We have been engaged, in a reasonable assurance engagement, to report on TrustFactory-CA Management's Assertion that for its Certification Authority (CA) operations at Rosebank Operations Centre (6<sup>th</sup> Floor, 16 Baker Street, Rosebank, Johannesburg, 2196 (Firestation Rosebank)) and the Bryanston Data Centre Vault (57 Sloane Str., Bryanston), throughout the period 08 October 2019 to 07 October 2020 for its CAs as enumerated in [Appendix A](#), TrustFactory-CA has:

- Disclosed its SSL certificate lifecycle management business practices in its
  - [TrustFactory CP, v1.6, dated 31 March 2020;](#)
  - [TrustFactory SSL ROOT CA CPS, v1.6, dated 31 March 2020; and](#)
  - [TrustFactory SSL ISSUING CA CPS, v1.6, dated 31 March 2020](#)

including its commitments to provide SSL certificates in conformity with the CA/Browser Forum Requirements (*version 1.7.1*) on the TrustFactory-CA website, and provided such services in accordance with its disclosed practices.



- Maintained effective controls to provide reasonable assurance that:
  - the integrity of keys and SSL certificates it manages is established and protected throughout their lifecycles; and
  - SSL subscriber information is properly authenticated (for the registration activities performed by TrustFactory-CA).
- Maintained effective controls to provide reasonable assurance that:
  - logical and physical access to CA systems and data is restricted to authorised individuals;
  - the continuity of key and certificate management operations is maintained; and
  - CA systems development, maintenance, and operations are properly authorised and performed to maintain CA systems integrity,
- Maintained effective controls to provide reasonable assurance that it meets the Network and Certificate System Security Requirements as set forth by the *CA/Browser Forum (version 1.7.1)* as of the audit period described above.

in accordance with the WebTrust Trust Service Principles and Criteria for Certification Authorities – SSL Baseline with Network Security v2.4.1.

TrustFactory does not escrow its CA keys, does not provide subscriber key generation services, and does not provide certificate suspension services. Accordingly, our procedures did not extend to controls that would address those criteria.

### **Certification authority's responsibilities**

TrustFactory-CA's management is responsible for its assertion, including the fairness of its presentation, and the provision of its described services in accordance with the WebTrust Trust Service Principles and Criteria for Certification Authorities – SSL Baseline with Network Security v2.4.1.

### **Our independence and quality control**

We have complied with the independence and other ethical requirements of the Code of *Professional Conduct for Registered Auditors* issued by the Independent Regulatory Board for Auditors (IRBA Code), which is founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour. The IRBA Code is consistent with the corresponding sections of the International Ethics Standards Board for Accountants' *International Code of Ethics for Professional Accountants (including International Independence Standards)*.



The firm applies International Standard on Quality Control 1, and accordingly maintains a comprehensive system of quality control including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

### **Practitioner's responsibilities**

Our responsibility is to express an opinion on Management's Assertion based on our procedures. We conducted our engagement in accordance with International Standard on Assurance Engagements 3000 (Revised), *Assurance Engagements Other than Audits or Reviews of Historical Financial Information*, issued by the International Auditing and Assurance Standards Board. This standard requires that we plan and perform our procedures to obtain reasonable assurance about whether, in all material respects, management's assertion is fairly stated, and, accordingly, included:

- 1 obtaining an understanding of TrustFactory-CA's SSL certificate lifecycle management business practices, including its relevant controls over the issuance, renewal, and revocation of SSL certificates, and obtaining an understanding of TrustFactory's network and certificate system security to meet the requirements set forth by the CA/Browser Forum;
- 2 selectively testing transactions executed in accordance with disclosed SSL certificate lifecycle management practices;
- 3 testing and evaluating the operating effectiveness of the controls; and
- 4 performing such other procedures as we considered necessary in the circumstances.

We believe that the evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### **Relative effectiveness of controls**

The relative effectiveness and significance of specific controls at TrustFactory-CA and their effect on assessments of control risk for subscribers and relying parties are dependent on their interaction with the controls, and other factors present at individual subscriber and relying party locations. We have performed no procedures to evaluate the effectiveness of controls at individual subscriber and relying party locations.

### **Inherent limitations**

Because of the nature and inherent limitations of controls, TrustFactory-CA's ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct, error, fraud, unauthorised access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions based on our findings to future periods is subject to the risk that changes may alter the validity of such conclusions.



## **Opinion**

In our opinion, throughout the period 08 October 2019 to 07 October 2020, TrustFactory-CA Management's Assertion, as referred to above, is fairly stated, in all material respects, in accordance with the WebTrust Trust Service Principles and Criteria for Certification Authorities – SSL Baseline with Network Security v2.4.1.

This report does not include any representation as to the quality of TrustFactory-CA's services beyond those covered by the WebTrust Trust Service Principles and Criteria for Certification Authorities – SSL Baseline with Network Security v2.4.1, nor the suitability of any of TrustFactory-CA's services for any customer's intended purpose.

## **Restriction on use**

As indicated, this report is for the purpose of providing information to the CPA Web Trust Certification Authorities in order to obtain Web Trust Certification and may not be suitable for any other purpose

## **Use of WebTrust's seal**

TrustFactory's use of the WebTrust for Certification Authorities-SSL Baseline with Network Security Seal constitutes a symbolic representation of the contents of this report and it is not intended, nor should it be construed, to update this report or provide any additional assurance.

Yours faithfully

KPMG Inc.

A handwritten signature in black ink, appearing to read 'Devon C Duffield', with a horizontal line underneath.

Per Devon C Duffield  
Director  
Registered Auditor  
Chartered Accountant (SA)



## Appendix A – In Scope CAs

CA Distinguished Name	SHA256 Fingerprint	SHA1 Fingerprint
<b>CN = TrustFactory SSL Root Certificate Authority</b> OU = TrustFactory PKI Operations O = TrustFactory(Pty)Ltd L = Johannesburg S = Gauteng C = ZA	60 81 42 DA 5C 67 5D D4 7C 1A A3 A2 6E E3 29 E2 4E 81 D5 FF 3B 94 01 7B C1 C1 A0 C3 7D B4 C1 A0	d1 14 78 e8 e5 fb 62 54 05 93 d2 2c 51 57 0d 01 4e ac 76 d8
<b>CN = TrustFactory SSL Issuing Certificate Authority</b> OU = TrustFactory PKI Operations O = TrustFactory(Pty)Ltd L = Johannesburg S = Gauteng C = ZA	55 3D D1 6E C1 C1 FE 49 96 89 FA FC 17 6A EB 88 19 ED CA 29 42 7D 60 8B 19 88 76 4D 12 D9 91 68	2c 6c aa 0f 78 6b 1a 35 15 1e 38 58 74 74 28 f4 7c 8c 15 5e

## TRUSTFACTORY- CA MANAGEMENT'S ASSERTION

TrustFactory Certificate Authority ("TrustFactory-CA") operates the Certification Authority (CA) services known and enumerated in [Appendix A](#), and provides SSL CA services.

TrustFactory-CA management has assessed its disclosures of its certificate practices and controls over its SSL CA services. Based on the assessment, in providing its SSL [and non-SSL] Certification Authority (CA) services at Rosebank Operations Centre (6<sup>th</sup> Floor, 16 Baker Street, Rosebank, Johannesburg, 2196 (Firestation Rosebank)) and the Bryanston Data Centre Vault (57 Sloane Str., Bryanston), throughout the period 08 October 2019 to 07 October 2020, has:

- disclosed its SSL certificate lifecycle management business practices in its:
  - [TrustFactory CP, v1.6, dated 31 March 2020](#);
  - [TrustFactory SSL ROOT CA CPS, v1.6, dated 31 March 2020](#); and
  - [TrustFactory SSL ISSUING CA CPS, v1.6, dated 31 March 2020](#)including its commitment to provide SSL certificates in conformity with the CA/Browser Forum Requirements (*version 1.7.1*) on the TrustFactory-CA website, and provided such services in accordance with its disclosed practices
- maintained effective controls to provide reasonable assurance that:
  - the integrity of keys and SSL certificates it manages is established and protected throughout their lifecycles;
  - SSL subscriber information is properly authenticated (for the registration activities performed by TrustFactory-CA)
- maintained effective controls to provide reasonable assurance that:
  - logical and physical access to CA systems and data is restricted to authorised individuals;
  - the continuity of key and certificate management operations is maintained; and
  - CA systems development, maintenance, and operations are properly authorised and performed to maintain CA systems integrity
- maintained effective controls to provide reasonable assurance that it meets the Network and Certificate System Security Requirements as set forth by the CA/Browser Forum (*version 1.7.1*)

in accordance with the WebTrust Principles and Criteria for Certification Authorities – SSL Baseline with Network Security v2.4.1



Jayesh Nana

Chief Executive Officer  
11 December 2020

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